



# Request For Continued Examination (RCE) Transmittal

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Commissioner for Patents  
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Alexandria, VA 22313-1450

Application Number	09/899,481
Filing Date	July 5, 2001
First Named Inventor	Zhimin Liu et al.
Group Art Unit	2872
Examiner Name	Lee A. Fineman
Attorney Docket Number	13854-032001

**This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. §1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s)

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendment filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fee

The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050 (13854-032001)
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other Any deficiencies

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01 FC:1801  
02 FC:1201  
03 FC:1202

770.00  
86.00  
18.00

- b. ☒ Check in the amount of \$874 enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

TECH. STAFF CALL

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## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Mark D. Kirkland	Registration No. (Attorney/Agent)	40,048
Signature	<i>Mark D. Kirkland</i>	Date	Nov 11, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Carlos A. Brasil	Date	November 11, 2003
Signature	<i>Carlos A. Brasil</i>		



Attorney's Docket No.: 13854-032001 / OPLINK-0106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zhimin Liu et al.

Art Unit : 2872

Serial No. : 09/899,481

Examiner : Lee A. Fineman

Filed : July 5, 2001

Title : LOOP OPTICAL CIRCULATOR

Mail Stop RCE

Commissioner for Patents

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PRELIMINARY MENDMENT

This listing of claims replaces all prior versions and listings of claims in the application:

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

November 11, 2003

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Carlos A. Brasil

Typed or Printed Name of Person Signing Certificate